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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Jhi Lee et al.	Examiner:	Linda Wong
Serial No.:	10/616,021	Group Art Unit:	2611
Filed:	July 9, 2003	Docket:	G&C 30435.179-US-U1
Title:	40-GB/S CLOCK AND DATA RECOVERY CIRCUIT IN 0.18 UM TECHNOLOGY		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on July 27, 2007.

By: *FHG*  
 Name: George H. Gates

COMMUNICATION UNDER 37 C.F.R. §1.28(c)  
TO CORRECT AN ERROR IN SMALL ENTITY STATUS

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

The Assignee of the above-identified patent application, The Regents of the University of California, has executed a "Notification Of Change In Small Entity Status Pursuant to 37 C.F.R. §1.27(g)(2)." This Notification is enclosed herewith, indicating that the above-identified patent application is now considered a large entity, and is no longer entitled to small entity status.

Assignee's attorney respectfully submits that, in the above-identified patent application, small entity status was established in good faith and the small entity fees were paid in good faith. However, it has been discovered that the application should have been filed as large entity, and that fees were erroneously paid as a small entity, although this error was without deceptive intent.

Consequently, Assignee's attorney respectfully requests that the error be excused in view of the following compliance with the separate submission and itemization requirements of 37 C.F.R. §§1.28(c)(1) and (c)(2), and the deficiency payment requirement of 37 C.F.R. §1.28(c)(2). The deficiency amount owed under 37 C.F.R. §1.28(c) has been calculated using the date on which the deficiency was paid in full.

In accordance with 37 C.F.R. §1.28(c)(2), which requires that for each fee that was erroneously paid as a small entity, the deficiencies owed must be paid, and the payment of the deficiencies must be itemized. The deficiency owed for each previous fee erroneously paid as a  
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small entity is the difference between the current fee amount (for other than a small entity) on the date the deficiency is paid in full and the amount of the previous erroneous (small entity) fee payment.

37 CFR §1.28(c)(2)(ii) requires the following itemizations: (A) the particular fee involved (e.g., basic filing fee, extension of time fee); (B) the small entity fee amount actually paid and when (for example, distinguishing between two one month extension of time fees erroneously paid on two different dates); (C) the actual deficiency owed for each fee previously paid in error; and (D) the total deficiency owed (i.e., the sum of the individual deficiencies owed). These itemizations are set forth below:

1. (A) Basic filing fee - Utility - filed before December 8, 2004; (B) the amount of \$375.00 was paid on July 9, 2003; (C) the actual deficiency owed for the fee paid in error is \$415.00 (Basic filing fee of \$790.00 minus \$375.00 previously paid).
2. (A) Extension for response within first month; (B) the amount of \$60.00 was paid on June 23, 2005; (C) the actual deficiency owed for the fee paid in error is \$60.00 (Extension fee of \$120.00 minus \$60.00 previously paid).
3. (A) Extension for response within second month; (B) the amount of \$225.00 was paid on April 25, 2006; (C) the actual deficiency owed for the fee paid in error is \$225.00 (Extension fee of \$450.00 minus \$225.00 previously paid).
4. (D) The total deficiency owed (i.e., the sum of individual deficiency) is \$700.00 (\$415.00 + \$60.00 + \$225.00).

Consequently, please charge the total deficiency owed of \$700.00 to Deposit Account No. 50-0494. Any additional fees may be charged to Deposit Account No. 50-0494.

Should the Office believe matters still remain that can be resolved in a telephone interview, the Office is urged to call Assignee's undersigned attorney.

Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Assignee

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

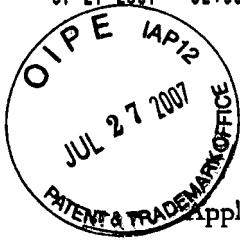
Date: July 27, 2006

By: Gates

Name: George H. Gates

Reg. No.: 33,500

GHG/

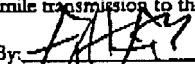


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 Name: George H. Gates

NOTIFICATION OF CHANGE IN  
SMALL ENTITY STATUS PURSUANT TO 37 C.F.R. §1.27(g)(2)

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

The Assignee of the above-identified U.S. patent application wishes to notify the U.S. Patent and Trademark Office that status as a small entity is no longer appropriate. Thus, the Assignee, The Regents of the University of California, submits that this application does not meet the requirements of "small entity" status, and hereby requests that small entity status be revoked for the application.

Respectfully submitted,

The Regents of the University of California

Dated: July 26 2007

By: Cheryl Silver  
 Cheryl Silverman  
 Patent Prosecution Manager



# Gates & Cooper LLP

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045

## FAX TRANSMISSION TO USPTO

TO: Commissioner for Patents  
Attn: Examiner Linda Wong  
Patent Examining Corps  
Facsimile Center  
Alexandria, VA 22313-1450

FROM: George H. Gates  
OUR REF.: G&C 30435.179-US-U1  
TELEPHONE: (310) 642-4146

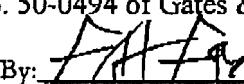
Total pages, including cover letter: 7

**PTO FAX NUMBER: 571-273-2885**

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

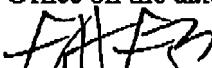
Title of Document Transmitted:	TRANSMITTAL SHEETS, COMMUNICATION UNDER 37 CFR §1.28(C) TO CORRECT AN ERROR IN SMALL ENTITY STATUS AND NOTIFICATION OF CHANGE IN SMALL ENTITY STATUS PURSUANT TO 37 CFR 1.27(G)(2).
Applicant:	Jri Lee et al.
Serial No.:	10/616,021
Filed:	July 9, 2003
Group Art Unit:	2611
Title:	HIGH-SPEED CLOCK AND DATA RECOVERY CIRCUIT
Our Ref. No.:	G&C 30435.179-US-U1

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP.

By: 

Name: George H. Gates  
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
Signature

July 27, 2007  
Date



Notice of Allow. Date: June 22, 2007  
Confirmation No.: 1118

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By:   
Name: George H. Gates

MAIL STOP ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- Communication Under 37 C.F.R. §1.28(c) to Correct an Error in Small Entity Status.
- Notification of Change in Small Entity Status Pursuant to 37 C.F.R. §1.27(g)(2).
- Charge the deficiency fees in the amount of \$700 to the Deposit Account.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462  
**GATES & COOPER LLP**  
 Howard Hughes Center  
 6701 Center Drive West, Suite 1050  
 Los Angeles, CA 90045  
 (310) 641-8797

By:   
 Name: George H. Gates  
 Reg. No.: 33,500  
 GHG/bjs

G&C 30435.179-US-U1